

# **Shareholder Communications Policy**

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# dorsaVi Limited

ACN 129 742 409

## Shareholder Communications Policy

### 1. Overview

#### 1.1 Purpose

The purpose of this Shareholder Communications Policy is to promote effective communication with shareholders and encourage effective participation at general meetings of dorsaVi Limited and its controlled entities (**Group**).

#### 1.2 Maintaining Shareholder Communications

The Group will ensure that:

- (a) materials detailed in this Policy are maintained and updated on the Company's website ([www.dorsaVi.com](http://www.dorsaVi.com)) within a reasonable timeframe;
- (b) shareholder communications are distributed to shareholders in accordance with the *Corporations Act 2001 (Cth)* (Corporations Act) and Listing Rules of the Australian Securities Exchange (**ASX**) (**Listing Rules**); and
- (c) it will use available channels and technologies to communicate widely and promptly to shareholders.

### 2. ASX Announcements

#### 2.1 Outline

The Company makes announcements to the ASX in accordance with the ASX Listing Rules.

#### 2.2 Availability

Announcements made by the Company to the ASX are available to shareholders:

- (a) in the Investor section of the Company's website; and
- (b) under the Company Announcements section of the ASX website.

#### 2.3 ASX Code

The ASX Code for dorsaVi Corporation Limited is DVL.

### 3. Company's Share Registry

#### 3.1 General

Shareholders are able to access information relevant to their holding via the Company Share Registry Website, [www.computershare.com](http://www.computershare.com). Shareholders who do not have access to the internet should contact the Company's Share Registry with any enquiries relating to their shareholdings.

#### 3.2 Contact Details

**Computershare Investor Services Pty Limited**

**GPO Box 52**

**Melbourne VIC 3000**

+61 (0)3 9415 4000 (investors)

1300 850 505 (investors within Australia)

## **4. Annual General Meetings**

### **4.1 Date and location of Annual General Meeting (AGM)**

- (a) The Company usually holds its AGM in November each year.
- (b) The specific date, time and location of each AGM will be detailed:
  - (i) in the relevant Notice of Meeting; and
  - (ii) on the *dorsaVi* website.
- (c) Alternatively, shareholders can contact the Company's Share Registry or Company Secretary directly to obtain this information.

### **4.2 Notice of Meeting**

The Notice of Meeting will be distributed to all shareholders prior to the AGM within the timeframe set by the Corporations Act and the Constitution of *dorsaVi*. The full text of the Notice of Meeting will also be available via the 'Investor' section of the Company's website.

### **4.3 Questions From Shareholders to the Chairman and External Auditor**

Shareholder meetings are an opportunity for shareholders and other stakeholders to hear from and put questions to the Board, management and the external auditor. Shareholders may attend the meeting in person or by proxy, representative or attorney.

If shareholders are present at the AGM, the Chairman will provide reasonable time following the consideration of reports for questions and comment on these matters.

### **4.4 Chairman's Address**

The Chairman's Address (and any Chief Executive Officer Address) will be released to the market just prior to the commencement of the AGM. It will be available to shareholders in the same manner as other ASX Announcements (see ASX Announcements above).

## **5. Annual Report**

### **5.1 Timing and availability**

The Annual Report contains key financial information about the Company, as well as important operating and corporate information. As permitted by the *Corporations Act*, the default method of receiving the Company Annual Reports is electronically via the Investor section of the Company's website (under 'Annual Reports'). A printed copy of the Annual Report is only sent to shareholders who elect to receive one. Shareholders who wish to receive a printed copy of the Annual Report should complete the Communications form available on the Company Share Registry website or contact the Company's Share Registry (see the Company's Share Registry above for contact details). The Annual Report will be available to all shareholders prior to the AGM within the timeframe set by the Corporations Act.

## **6. Half-Year & Full-Year Results**

### **6.1 Timing**

The financial results for the full-year ended 30 June are reported in August each year. The financial results for the half-year ended 31 December are reported in February each year.

### **6.2 Availability**

As the Half-Year and Full-Year Results are announced to the ASX pursuant to the Listing Rules, they will be available to shareholders in the same manner as other ASX Announcements (see ASX Announcements above). In addition, the results will be accessible via the 'Investor' section of the Company's website (under 'ASX Releases').

## **7. Investor and Analyst Briefings**

### **7.1 General**

At the time of announcement to the ASX of the Company's Half-Year and Full-Year Results the Company may conduct investor and analyst briefings. Investor and analyst briefings may occur at other times during the year as the Company thinks appropriate.

### **7.2 Presentations**

If and when investor and analyst briefings occur at other times during the year, any presentation materials provided will be made available to all shareholders via the 'Investor' section of the Company's website and will be released to the market prior to delivery.

## **8. Approved and adopted**

This policy was approved and adopted by the board on 30 October 2013.